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**TRANSMITTAL
FORM**

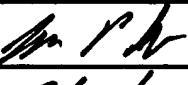
(to be used for all correspondence after initial filing)

| | | | |
|--|----|------------------------|-------------------|
| TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i> | | Application Number | 10/040,293 |
| | | Filing Date | October 29, 2001 |
| | | First Named Inventor | Eduard K. de Jong |
| | | Art Unit | 2151 |
| | | Examiner Name | Unassigned |
| Total Number of Pages in This Submission | 11 | Attorney Docket Number | SUN-P7015 |

ENCLOSURES (check all that apply)

| | | |
|--|--|--|
| <input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): |
| | | Request to correct filing receipt; Copy of preliminary Amendment filed 2/25/02, transmittal letter and postcard; Copy of response to request for corrected FR; and copy of FR showing requested change. |
| <div style="border: 1px solid black; padding: 2px; margin-bottom: 5px;">Remarks</div> <div style="border: 1px solid black; height: 80px; overflow: auto;"></div> | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | |
|-------------------------|---|
| Firm or Individual name | John P. Schaub, Reg. No. 42,125 |
| Signature |  |
| Date | 9/24/03 |

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

| | | | |
|-----------------------|---|------|---------|
| Typed or printed name | Monica Pizarro | | |
| Signature |  | Date | 9/24/03 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Eduard K. de Jong et al.
SERIAL NO.: 10/040,293
FILING DATE: October 29, 2001
TITLE: Privacy and Identification in a Data Communications Network
ART UNIT: 2151
EXAMINER: Unassigned

CERTIFICATE OF MAILING

I hereby certify that this paper is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Office of Initial Patent Examination's Filing Receipt Corrections, Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313- 1450, on the date printed below:

Date: 9/24/03 Name: Monica Pizarro
Monica Pizarro

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FILING RECEIPT CORRECTIONS
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P.O. BOX 1450
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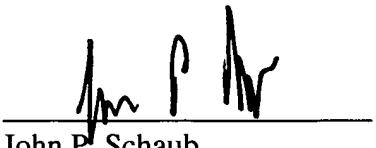
REQUEST TO CORRECT FILING RECEIPT

It is respectfully submitted that the Updated Filing Receipt for the above-identified patent application has an error. The correct title is "**Privacy and Identification in a Data Communications Network**" and not "**Privacy and Identification in a Data**" as referenced in the updated filing receipt. Please amend the updated filing receipt to reflect the correct title. A copy of the preliminary amendment filed February 25, 2002, the transmittal letter and postcard are attached along with a copy of the updated filing receipt showing the requested change. Also attached is a response to request for corrected filing receipt mailed May 31, 2002.

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1698.

Respectfully submitted,
THELEN REID & PRIEST LLP

Dated: 9/24/03


John P. Schaub
Reg. No. 42,125

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P.O. Box 640640
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Fax: (408) 287-8040

Serial/Patent.No.: 10/040,293

Filing/Issue Date: 10/29/01

Applicant: de Jong, et al.

Title: Privacy and Identification in a Data Communications Network

TRP Docket No.: SUN-P7015

Date Mailed: 3-25-02

Atty/Secty Initials: JPS/dm

Docket Due Date:

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon.

| | |
|--|--|
| <input type="checkbox"/> Amendment/Response (<u> </u> pgs.) | <input type="checkbox"/> Drawings: _____ # of sheets includes _____ |
| <input type="checkbox"/> Appeal Brief (<u> </u> pgs.) (in triplicate) | <input type="checkbox"/> IDS & PTO 1449 (<u> </u> pgs.) |
| <input type="checkbox"/> Application - Utility (<u> </u> pgs. with cover & abstract) | <input type="checkbox"/> _____ Pieces of Prior Art Enclosed |
| <input type="checkbox"/> Application - Rule 1.53(b) Continuation (<u> </u> pgs.) | <input type="checkbox"/> Issue Fee Transmittal |
| <input type="checkbox"/> Application - Rule 1.53(b) Division (<u> </u> pgs.) | <input type="checkbox"/> Submission of Formal Drawings _____ # of sheets includes _____ figures |
| <input type="checkbox"/> Application - Rule 1.53(b) CIP (<u> </u> pgs.) | <input type="checkbox"/> Notice of Appeal |
| <input type="checkbox"/> Application - Rule 1.53(d) CPA (<u> </u> pgs.) | <input checked="" type="checkbox"/> Postcard |
| <input type="checkbox"/> Application - PCT (<u> </u> pgs.) | <input type="checkbox"/> Preliminary Amendment (2 pgs.) |
| <input type="checkbox"/> Application - Provisional (<u> </u> pgs.) | <input type="checkbox"/> Reply Brief (<u> </u> pgs.) |
| <input type="checkbox"/> Assignment and Cover Sheet | <input type="checkbox"/> Req and Cert. Not to Publish - Rule 1.213 |
| <input type="checkbox"/> Certificate of Correction | <input type="checkbox"/> Request for Extension of Time _____ Month |
| <input type="checkbox"/> Certificate of Mailing | <input type="checkbox"/> Response to Notice to File Missing Parts |
| <input type="checkbox"/> Declaration & POA (<u> </u> pgs.) | <input type="checkbox"/> Copy of PTO Notice to File Missing Parts |
| <input type="checkbox"/> Fee Transmittal | <input checked="" type="checkbox"/> Transmittal Letter (original & copy) |
| <input type="checkbox"/> Request for Continued Examination (RCE) (<u> </u> pgs.) | <input type="checkbox"/> Express Mail No.: _____ |
| <input type="checkbox"/> Other: _____ | <input type="checkbox"/> Check(s) \$ _____ |
| | <input type="checkbox"/> Deposit Acct. No. 50-1698 \$ _____ |
| | Patent Code: _____ |
| | Client/Matter # 811173 - 230 |



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| APPLICATION NUMBER | FILING DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
|---|-------------|-----------------------|------------------------|
| 10/040,293 | 10/29/2001 | Eduard K. de Jong | SUN-P7015 |
| | | CONFIRMATION NO. 9836 | |
| | | *OC00000008216475* | |
| David B. Ritchie Thelen Reid & Priest, LLP P.O. Box 640640 San Jose, CA 95164-0640 | | | |

Date Mailed: 05/31/2002

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Title of Invention

In response to your request for a corrected Filing Receipt, the Office cannot comply with your request because:

- The articles such as "a," "an", and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvement of," "improvement in", or "improvement relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement. See MPEP 606.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- Superscript and subscript are not possible. These characters will appear on the same line.
- The title appears on the filing receipt in sentence case for publication in the Annual Index of Patents.
- The title of the invention was captured as provided by applicant on the first page of the specification. An amendment is needed to make this change.

Preliminary Amend was sent 2/25/02 (Send copies with this notice)

Alysa Haropus
Customer Service Center /
Office of Initial Patent Examination
(703) 308-1202

CPI My

Excel

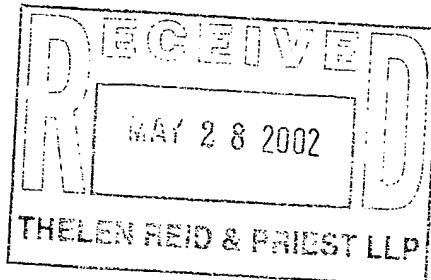


UNITED STATES PATENT AND TRADEMARK OFFICE

811173-230
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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|----------------|----------|------------|------------|
| 10/040,293 | 10/29/2001 | 2151 | 2214 | SUN-P7015 | 55 | 19 | 19 |

David B. Ritchie
Thelen Reid & Priest, LLP
P.O. Box 640640
San Jose, CA 95164-0640



CONFIRMATION NO. 9836

UPDATED FILING RECEIPT



OC000000008148320

Date Mailed: 05/17/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Eduard K. de Jong, San Mateo, CA;
Moshe Levy, Sunnyvale, CA;
Albert Y. Leung, San Jose, CA;

Assignment For Published Patent Application

Sun Microsystems, Inc., a Delaware Corporation;

Domestic Priority data as claimed by applicant**Foreign Applications**

If Required, Foreign Filing License Granted 03/04/2002

Projected Publication Date: 05/01/2003

Non-Publication Request: No

Early Publication Request: No

Reviewed By: J Date: 8/3/02
No Action Required:
Action Required: I Title,
need to amend.

Title

Privacy and identification in a data

communications
network

CPI B
Excel

Preliminary Class

709

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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